



**BOX
MISSING
PARTS**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alan M. Warwick et al. Attorney Docket No: MSFT114130
Serial No: 09/361,371 Group Art Unit: 2755
Filed: July 26, 1999 Examiner: Not Assigned
Title: SYSTEM, METHOD AND APPARATUS FOR
SUPPORTING A KERNEL MODE DRIVER

**TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION/
REQUEST FOR RECORDATION OF ASSIGNMENT**

Seattle, Washington 98101

September 13, 1999

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

A. Transmitted Herewith are the Following:

- X 1. An executed Combined Declaration and Power of Attorney in the above-identified U.S. patent application.
- X 2. An Assignment of the invention to Microsoft Corporation is attached. A Cover Sheet prepared in accordance with 37 C.F.R. § 3.31 is attached to the Assignment. Please record this Assignment in accordance with 37 C.F.R. § 3.11.
- X 3. A copy of Form PTO-1533 (Notice to File Missing Parts).

COMPUTATION OF FEE

	Number Filed		Number Extra		Rate		Basic Fee \$760
Total Claims	19 - 20	=		x	\$18	=	
Independent Claims	2 - 3	=	0	x	\$78	=	0
Total Filing Fee					\$ 760.00		
Surcharge Fee (\$130)					\$ 130.00		
TOTAL					\$ 890.00		


Check No. 109077 in the amount of \$890.00 to cover the total fee as computed above is enclosed.

B. Additional Fee Charges or Credit for Overpayment:

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.18 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 03-1740. This authorization also hereby includes a request for any extensions of time of the appropriate length required upon the filing of any reply during the entire prosecution of this application. A copy of this sheet is enclosed.

Respectfully submitted,

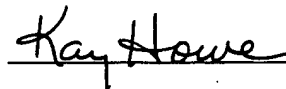
CHRISTENSEN O'CONNOR
JOHNSON & KINDNESS^{PLLC}



John E. Whitaker
Registration No. 42,222
Direct Dial (206) 695-1792

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on September 13, 1999.

Date: September 13, 1999



JEW:kh



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

✓ CC: LARK

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/361,371 07/26/99 WARWICK

A MSFT114130

JOHN E WHITAKER ESQ
CHRISTENSEN O CONNOR
JOHNSON & KINDNESS PLLC
1420 FIFTH AVENUE SUITE 2800
SEATTLE WA 98101

0212/0820



NOT ASSIGNED

2755

DATE MAILED:

08/20/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 890.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

00000007 09361371
760.00
130.00
09/20/1999 SARAYA
FC:101
FC:105